

## NOVEMBER 2016



# OVERSEAS CONTINGENCY OPERATIONS BUDGET AMENDMENT

**The estimated cost of this report or study for the  
Department of Defense is approximately \$8,670  
for the 2017 Fiscal Year.**

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## OVERSEAS CONTINGENCY OPERATIONS (OCO) BUDGET AMENDMENT

### SUMMARY

This amendment to the FY 2017 President's Budget requests \$5.8 billion in Department of Defense (DoD) funding to support Overseas Contingency Operations (OCO). These additional resources are needed to maintain approximately 8,400 troops in Afghanistan to support Operation FREEDOM'S SENTINEL (OFS) and to fund additional troops and other requirements needed for Operation INHERENT RESOLVE (OIR) in Iraq/Syria to support the strategy to degrade, and ultimately defeat, the Islamic State of Iraq and the Levant (ISIL).

This amendment would also provide funds to begin recapitalizing the air capability of the Afghan Air Force to ensure mission success for the Afghan National Defense and Security Forces (ANDSF).

The activities detailed in this Overview Book are in addition to the \$58.8 billion OCO Budget request submitted for FY 2017 in February 2016.

**Figure 1** displays the requested OCO funding by Military Operation included in the February Budget request and changes reflected in the \$5.8 billion budget amendment. This budget amendment:

- Supports a more deliberate drawdown of U.S. troops in Afghanistan, thereby promoting security in the region through the continued train, advise, and assist mission and additional aviation assets for the Afghan Air Force;
- Enables DoD to exploit the current momentum against ISIL by increasing its presence and support in Iraq and Syria and providing support to the Kurdish Peshmerga;
- Addresses emergent force protection issues for U.S. and coalition personnel through the procurement and development of Counter-Unmanned Aerial System and cyber efforts;
- Supports the U.S. counter-ISIL efforts in Libya.

**Figure 1. OCO Funding by Military Operation**

(Dollars in Billions)

Operation/Activity	FY 2017 Request	FY 2017 Change	FY 2017 Revised Request
Operation FREEDOM'S SENTINEL (OFS) and Related Missions	41.7	+3.4	45.1
Operation INHERENT RESOLVE (OIR) and Related Missions	7.5	+2.4	9.9
European Reassurance Initiative (ERI)	3.4	-	3.4
Counterterrorism Partnerships Fund (CTPF)	1.0	-	1.0
Operation ODYSSEY LIGHTNING (OOL)*	-	+<0.1	<0.1
<b>Subtotal</b>	<b>53.6</b>	<b>+5.8</b>	<b>59.4</b>
Bipartisan Budget Act (BBA) of 2015 Compliance	5.2	-	5.2
<b>Grand Total</b>	<b>58.8</b>	<b>+5.8</b>	<b>64.6</b>

\* Includes \$20 million for Operation ODYSSEY LIGHTNING  
Numbers may not add due to rounding

### FORCE LEVEL BUDGET ASSUMPTIONS

**Figure 2** identifies the force levels assumed in the Department's FY 2017 OCO budget amendment, expressed as annual average troop strength.



**Figure 2. U.S. Force Level Assumptions in DoD OCO Budget**  
(Annual Average Troop Strength)

Force	FY 2016 Enacted	FY 2017 Request	FY 2017 Change	FY 2017 Revised Request
Afghanistan (OFS)	9,737	6,217	+2,457	8,674
Iraq/Syria (OIR)	3,550	3,550	+2,012	5,562
In-Theater Support*	55,831	58,593	-	58,593
<b>Subtotal</b>	<b>69,118</b>	<b>68,360</b>	<b>+4,469</b>	<b>72,829</b>
In-CONUS**/Other Mobilization	15,991	13,085	-	13,085
<b>Total Force Levels</b>	<b>85,109</b>	<b>81,445</b>	<b>+4,469</b>	<b>85,914</b>

\* In-Theater support includes support for Afghanistan/Iraq, Combined Joint Task Force (CJTF) Horn of Africa (HOA)/Northwest Africa counterterrorism, and ERI (including approximately 10,500 afloat forces).

\*\* In-CONUS = In the Continental United States

### **Operation FREEDOM'S SENTINEL (OFS) Force Levels**

This budget amendment request enables DoD to maintain approximately 8,400 troops in Afghanistan through FY 2017. The initial FY 2017 OCO request supported 9,800 troops from October 1 to December 31, 2016, then 5,500 for the remainder of the fiscal year. In July 2016, the President announced a more deliberate drawdown of troops from Afghanistan, and this amendment supports the resulting force level of approximately 8,400 personnel, or 2,900 additional troops, starting January 1, 2017, in accordance with that announcement. This change in force level demonstrates U.S. commitment to the NATO Resolute Support mission and to DoD's Afghan partners, and provides continuity for the train and advise missions to further counterterrorism efforts.

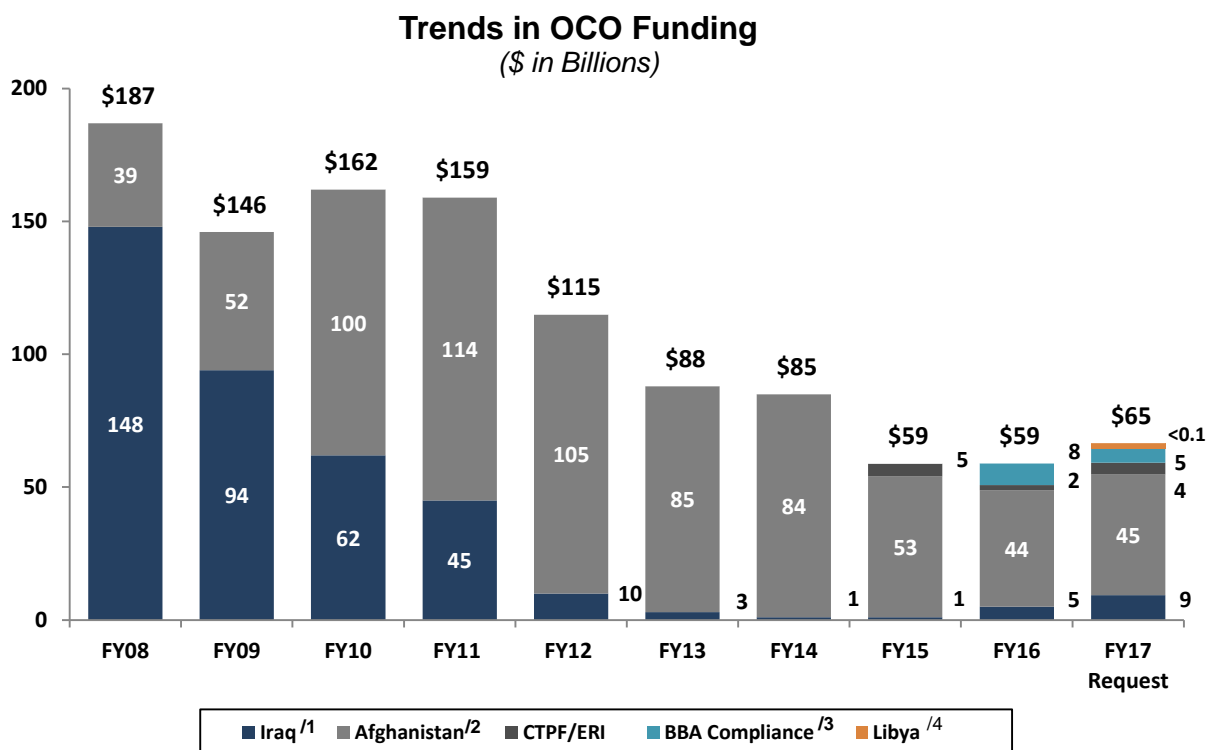
### **Operation INHERENT RESOLVE (OIR) Force Levels**

This amendment also enables DoD to maintain approximately 5,500 U.S. personnel, 2,000 more than currently budgeted, forward deployed to the U.S. Central Command (USCENTCOM) area of operations, including all force level changes approved by the President since the spring, allowing DoD to increase its presence and support in Iraq and Syria. These forces are providing training, advice, and assistance to partner security forces to support the coalition effort to degrade, dismantle, and defeat ISIL while restoring the Government of Iraq's control of its sovereign territory. To seize the opportunities created by successes in recent months, this amendment reflects intensifying efforts as Iraqi forces begin to pressure ISIL forces in Mosul and to re-take control of the city.

## **BUDGET AMENDMENT**

The FY 2017 OCO amendment of \$5.8 billion brings the FY 2017 total OCO request to \$64.6 billion. The OCO funding trends since FY 2008 are shown in **Figure 3**. This additional \$5.8 billion will enable the Department to meet critical requirements in support of the revised force levels and the emergent Joint Urgent Operational Needs as presented by the deployed commanders. This funding supports the Department's operational mission requirements and makes investments in key counter-ISIL capabilities. Additional details about the funding requested in this amendment can be found in the following narratives.

**Figure 3. OCO Funding Level Trends**  
(\$ in Billions)



1/ Data are for Operation IRAQI FREEDOM (OIF), Operation NEW DAWN (OND), Operation INHERENT RESOLVE (OIR), follow-on Iraq activities, and related missions. The FY 2017 OCO Amendment includes \$2.4 billion for OIR.

2/ Afghanistan data are for Operation ENDURING FREEDOM (OEF), Operation FREEDOM'S SENTINEL (OFS), and related missions. The FY 2017 OCO Amendment includes \$3.4 billion for OFS.

3/ The FY 2016 enacted and the original FY 2017 Request were at the OCO levels in the Bipartisan Budget Act (BBA) of 2015.

4/ The FY 2017 OCO amendment includes \$20 million for Operation ODYSSEY LIGHTNING (OOL) in Libya.

## OPERATION FREEDOM'S SENTINEL (OFS) IN AFGHANISTAN

This amendment includes \$3.4 billion for the OFS in Afghanistan, supporting two main efforts: \$2.5 billion to maintain a higher troop presence (approximately 8,400) beginning in January 2017 than initially planned (approximately 5,500), and \$814.5 million for Afghan aviation modernization.

Since the U.S. combat mission in Afghanistan ended in December 2014, the ANDSF have assumed full responsibility for Afghanistan's security nationwide. The ANDSF have grown stronger, but continue to face a determined insurgency. Maintaining a U.S. force posture of approximately 8,400 troops throughout FY 2017, together with our 41 allies and partners which together contribute another 6,000 troops, will enable the NATO-led coalition to continue providing focused assistance on crucial capabilities such as intelligence, logistics, aviation, and command and control. This critical support will help the ANDSF secure their country and prevent Afghanistan from being used as a safe haven for terrorists to attack our Nation.

## OPERATION INHERENT RESOLVE (OIR) IN IRAQ/SYRIA

This amendment includes \$2.4 billion for OIR in Iraq/Syria, providing \$1.7 billion to accommodate a higher troop presence in Iraq/Syria, \$289.5 million to support the Kurdish Peshmerga, and approximately \$460 million to address emergent force protection issues for U.S. and coalition personnel. This additional request will enable DoD to support a range of military operations with additional forces against ISIL in the Middle East region.

The State Department and U.S. Agency for International Development (USAID) resources requested as part of this budget amendment to implement the diplomatic engagement, governance, and stabilization components of the Administration's counter-ISIL strategy, are critical to ensure that our coalition's military successes can be sustained.

### ***Operations (\$1.7 billion):***

Based on the U.S.-led global coalition's sustained progress in Iraq and Syria, the U.S. approach to countering ISIL continues to evolve with emerging requirements and additional funding needs identified since the Administration submitted the FY 2017 OCO request to Congress in February 2016. The coalition continues to enable local partners to roll back ISIL's territorial control in Iraq and Syria and disrupt its efforts to plan external attacks through the use of precision airstrikes, Special Operations Forces, and intelligence collection and exploitation, along with the development of capable local ground forces in Iraq and Syria, and increased air and indirect fires support to Iraqi Security Forces (ISF) and vetted Syrian opposition forces.

### ***Support to the Kurdish Peshmerga (\$290 million):***

The Kurdish Peshmerga forces remain a significant component of the ISF in the fight against ISIL, particularly in the ongoing campaign to retake Mosul. This budget amendment will provide continued sustainment and stipends to the Peshmerga through the Government of Iraq.

### ***Emergent Force Protection (\$460 million):***

This budget amendment will fund critical emergent requirements for force protection for U.S. and coalition personnel in support of counter-ISIL efforts, to include:

- ***Counter Unmanned Aerial Systems (C-UAS)***  
This amendment addresses a Counter Unmanned Aerial Systems (C-UAS) capability Joint Urgent Operational Need (JUON) to support the development, testing, integration, procurement and fielding of networked counter-small unmanned aerial systems at key sites in theater within a two-year window. (\$226.7 million)
- ***Joint Improvised-Threat Defeat Fund***  
The Joint Improvised-Threat Defeat Organization (JIDO) supports counter-ISIL efforts for analysis, targeting, training and equipment to support deployed U.S. forces. (\$99.8 million)
- ***Cyber Efforts***  
This amendment provides support for a classified JUON for cyber efforts to counter Violent Extremist Organizations. (\$87.0 million)
- ***Lethal Miniature Aerial Missile Systems***  
The U.S. Army requires replenishment and fielding of Lethal Miniature Aerial Missile Systems (LMAMS). The LMAMS is a single man-portable/light-weight, beyond line-of-sight, precision guided, loitering aerial missile system that is capable of locating and engaging obscured or fleeing enemy targets that otherwise cannot be engaged by typical direct fire weapons systems. (\$46.5 million)



## OPERATION ODYSSEY LIGHTNING IN LIBYA

This amendment provides \$20 million to finance the incremental Navy and Air Force costs for operations, flying hours and deployment in Libya to support Operation ODYSSEY LIGHTNING (OOL).

## OCO BUDGET BY FUNCTIONAL/MISSION CATEGORY

Funding in the FY 2017 OCO budget amendment by operational support category is reflected in **Figure 4**, followed by brief explanations of selected activities.

**Figure 4. OCO Functional/Mission Category Breakout**  
(Dollars in Billions)

OCO Budget	FY 2016 Enacted	FY 2017 Request	FY 2017 Change	FY 2017 Revised Request
Operations/Force Protection	8.8	8.6	+2.8	11.4
In-Theater Support*	14.8	17.0	+1.3	18.3
Joint Improvised-Threat Defeat Fund	0.4	0.4	+0.1	0.5
Afghanistan Security Forces Fund (ASFF)	3.6	3.4	+0.8	4.2
Support for Coalition Forces	1.4	1.4	-	1.4
Iraq Train and Equip Fund (ITEF)	0.7	0.6	+0.3	0.9
Syria Train and Equip Fund (STEF)	-	0.3	-	0.3
Equipment Reset and Readiness	10.1	9.4	+0.1	9.5
Classified Programs	8.1	8.1	+0.4	8.5
Counterterrorism Partnerships Fund (CTPF)	1.1	1.0	-	1.0
European Reassurance Initiative (ERI)	0.8	3.4	-	3.4
National Guard and Reserve Equipment/Military Readiness	1.5	-	-	-
<b>Subtotal</b>	<b>51.3</b>	<b>53.6</b>	<b>+5.8</b>	<b>59.4</b>
Prior-Year Cancellation	-0.4	-	-	-
Bipartisan Budget Act (BBA) of 2015 Compliance	7.7	5.2	-	5.2
<b>Total</b>	<b>58.6</b>	<b>58.8</b>	<b>+5.8</b>	<b>64.6</b>

Numbers may not add due to rounding

\* Includes counter-ISIL efforts in Libya

**Operations/Force Protection (\$2.8 billion):** This category of incremental cost includes the full spectrum of military operations requirements for U.S. personnel operating in Afghanistan, Iraq, and the Levant, including but not limited to:

- Personnel special pays and subsistence for deployed forces;
- Operating tempo (ground vehicles/equipment, combat aviation, Special Operations Forces);
- Communications;
- Deployment and redeployment of combat and support forces; and
- Life support and sustainment.

***In-Theater Support (\$1.3 billion):*** Funds requested in this category provide for critical combat and other support for personnel in Afghanistan and Iraq that comes from units and forces operating outside of Afghanistan and Iraq. Included are costs to support bases or installations in the Middle East (e.g., Kuwait, Qatar, and United Arab Emirates, etc.), and the incremental costs for afloat and air expeditionary forces located elsewhere in the USCENTCOM region. This also includes activities against ISIL in Libya designated as Operation ODYSSEY LIGHTNING.

The types of cost incurred for in-theater operations are similar to those outlined in the "Operations/Force Protection" category, including logistics, transportation, and communications.

***Joint Improvised-Threat Defeat Fund (\$0.1 billion):*** The Joint Improvised-Threat Defeat Organization (JIDO) requests \$99.8 million in the FY 2017 OCO budget amendment to sustain current requirements and meet the increased Joint Staff-validated requests for support from USCENTCOM. This budget amendment request is in support of Operation INHERENT RESOLVE and other counter-ISIL efforts for analytics, targeting, training and equipment to support deployed U.S. Joint Forces.

The requested increase for \$99.8 million includes:

- \$53.8 million to provide additional Combatant Command support
- \$32.0 million to procure rapid capability solutions
- \$14.0 million to restore reach back support to deployed forces

***Afghanistan Security Forces Fund - Afghan National Defense and Security Forces (ANDSF) Aviation Modernization (\$0.8 billion):*** The budget amendment also includes \$814.5 million to provide essential cargo and close air support (CAS) capabilities to the Afghan Air Force (AAF) and Special Mission Wing through the Afghanistan Security Forces Fund (ASFF). The cargo effort initiates the replacement of the ANDSF's Russian-made Mi-17 rotary-wing aircraft with an upgraded U.S. Army model of the UH-60A helicopter. In FY 2017 Army will divest 53 excess UH-60A helicopters. This request begins the modification and upgrade work to these platforms for the ANDSF. The current fleet of Russian helicopters is increasingly difficult to sustain and is in a steady state of decline due to higher than anticipated attrition and utilization rates, requiring significant recapitalization in the coming years. Transitioning to U.S. platforms ensures that Afghan forces have the necessary tactical capabilities for warfare in the rugged Afghan countryside, as well as uninterrupted access to parts and services to maintain their helicopters.

The CAS effort addresses the significant capability gap in ANDSF with the procurement of 6 additional A-29 Super Tucano fixed wing aircraft, 30 MD-530 helicopters, and 5 AC-208 fixed wing aircraft. This capability improves the effectiveness of ground forces against insurgents and terrorists and helps reduce the ANDSF's high casualty rate.

***Iraq Train and Equip Fund - Increased Support to the Kurdish Peshmerga (\$0.3 billion):*** The Iraq Train and Equip Fund (ITEF) continues to enhance the ability of the Iraqi Security Forces to liberate and secure lost territory, secure borders, protect the population, and improve the quality of provincial and national defenses. The ITEF remains the most critical tool in building capacity, advising, and assisting U.S. partners in Iraq. The Kurdish Peshmerga Forces remain a significant component of the ISF in the ongoing fight against ISIL. These forces continue to hold a large defensive front, effectively countering ISIL attacks, and conducting successful local attacks to secure additional terrain from ISIL. This budget amendment requests \$289.5 million to provide additional conditions-based sustainment assistance to the Kurdish Peshmerga through the Government of Iraq, including stipends and other sustainment, training, and equipment. This assistance is not only helping the Kurdish Peshmerga to continue

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the fight, but it is also responding to economic pressures faced by the Kurdistan Regional Government (KRG). Support to KRG and Peshmerga helps enable and assure their cooperation in, and contributions to, the continuing campaign against ISIL.

***Equipment Reset and Readiness (\$0.1 billion):*** The request funds medical support equipment, organizational clothing and individual equipment items, and the replenishment of Lethal Miniature Aerial Missile Systems (LMAMS).

***Classified Programs (\$0.4 billion):*** Classified programs focus on the intelligence, surveillance, and reconnaissance needed to inform our operations and provide additional force protection to our deployed military, civilians, and contractors.

## RESOURCE EXHIBITS

**Table 1. Total OCO Funding by Appropriation Title**

<i>\$ in Thousands</i> <b>OCO Budget</b>	<b>FY 2016 Enacted</b>	<b>FY 2017 Request</b>	<b>FY 2017 Change</b>	<b>FY 2017 Revised Request</b>
Military Personnel	3,222,673	3,562,258	+ 144,868	3,707,126
Operation and Maintenance	46,966,155	45,034,083	+ 5,100,248	50,134,331
Procurement	8,128,888	9,514,408	+ 387,684	9,902,092
RDT&E	231,434	374,169	+ 142,200	516,369
Revolving and Management Funds	88,850	140,633	-	140,633
<b>Defense Bill</b>	<b>58,638,000</b>	<b>58,625,551</b>	<b>+5,775,000</b>	<b>64,400,551</b>
Military Construction	-	172,449	-	172,449
Family Housing	-	-	-	-
<b>Military Construction Bill</b>	<b>-</b>	<b>172,449</b>	<b>-</b>	<b>172,449</b>
<b>Total OCO</b>	<b>58,638,000</b>	<b>58,798,000</b>	<b>+5,775,000</b>	<b>64,573,000</b>

*Numbers may not add due to rounding*

**Table 2. Total OCO Funding by Military Department**

<i>\$ in Thousands</i> <b>OCO Budget</b>	<b>FY 2016 Enacted</b>	<b>FY 2017 Request</b>	<b>FY 2017 Change</b>	<b>FY 2017 Revised Request</b>
Army	23,668,804	25,035,892	+4,416,503	29,452,395
Navy	9,449,242	9,476,438	+282,171	9,758,609
Air Force	16,098,000	15,826,413	+558,020	16,384,433
Defense-Wide	9,421,954	8,459,257	+518,306	8,977,563
<b>Total OCO</b>	<b>58,638,000</b>	<b>58,798,000</b>	<b>+5,775,000</b>	<b>64,573,000</b>

*Numbers may not add due to rounding*

## Overview – FY 2017 OCO Budget Amendment

**Table 3. OCO Funding by Military Department and Appropriation Title**

Department of the Army <i>\$ in Thousands</i> <i>OCO Budget</i>	FY 2016 Enacted	FY 2017 Request	FY 2017 Change	FY 2017 Revised Request
Military Personnel	2,036,833	2,290,556	+94,034	2,384,590
Operation and Maintenance (O&M)	15,545,237	16,356,301	+3,223,769	19,580,070
O&M - Afghanistan Security Forces Fund	3,652,257	3,448,715	+814,500	4,263,215
Procurement	2,432,977	2,774,065	+145,000	2,919,065
RDT&E	1,500	100,522	+139,200	239,722
Military Construction	-	18,900	-	18,900
Family Housing	-	-	-	-
Revolving and Management Funds	-	46,833	-	46,833
<b>Total Department of the Army</b>	<b>23,668,804</b>	<b>25,035,892</b>	<b>+4,416,503</b>	<b>29,452,395</b>

*Numbers may not add due to rounding*

Department of the Navy <i>\$ in Thousands</i> <i>OCO Budget</i>	FY 2016 Enacted	FY 2017 Request	FY 2017 Change	FY 2017 Revised Request
Military Personnel	438,176	525,983	+13,194	539,177
Operation and Maintenance	8,577,243	8,101,319	+263,977	8,365,296
Procurement	398,076	711,004	+5,000	716,004
RDT&E	35,747	78,323	-	78,323
Military Construction	-	59,809	-	59,809
Family Housing	-	-	-	-
Revolving and Management Funds	-	-	-	-
<b>Total Department of the Navy</b>	<b>9,449,242</b>	<b>9,476,438</b>	<b>+282,171</b>	<b>9,758,609</b>

*Numbers may not add due to rounding*

Department of the Air Force <i>\$ in Thousands</i> <i>OCO Budget</i>	FY 2016 Enacted	FY 2017 Request	FY 2017 Change	FY 2017 Revised Request
Military Personnel	747,664	745,719	+37,640	783,359
Operation and Maintenance	11,206,819	9,576,416	+382,496	9,958,912
Procurement	4,123,917	5,382,633	+137,884	5,520,517
RDT&E	17,100	32,905	-	32,905
Military Construction	-	88,740	-	88,740
Family Housing	-	-	-	-
Revolving and Management Funds	2,500	-	-	-
<b>Total Department of the Air Force</b>	<b>16,098,000</b>	<b>15,826,413</b>	<b>+558,020</b>	<b>16,384,433</b>

*Numbers may not add due to rounding*

## OVERSEAS CONTINGENCY OPERATIONS



**Table 3. OCO Funding by Military Department and Appropriation Title (cont'd)**

<b>Defense-Wide</b> <i>\$ in Thousands</i> <b>OCO Budget</b>	<b>FY 2016 Enacted</b>	<b>FY 2017 Request</b>	<b>FY 2017 Change</b>	<b>FY 2017 Revised Request</b>
Military Personnel	-	-	-	-
Operation and Maintenance	7,984,599	7,551,332	+415,506	7,966,838
Procurement	1,173,918	646,706	+99,800	746,506
RDT&E	177,087	162,419	+3,000	165,419
Military Construction	-	5,000	-	5,000
Family Housing	-	-	-	-
Revolving and Management Funds	86,350	93,800	-	93,800
<b>Total Defense-Wide</b>	<b>9,421,954</b>	<b>8,459,257</b>	<b>+518,306</b>	<b>8,977,563</b>

<b>Total OCO</b>	<b>58,638,000</b>	<b>58,798,000</b>	<b>+5,775,000</b>	<b>64,573,000</b>
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*Numbers may not add due to rounding*

### APPENDIX B: ACRONYM LIST

NOTE: This is not a comprehensive list of all acronyms used in the Overview.

Acronym	Definition
AAF	Afghan Air Force
ANDSF	Afghan National Defense and Security Forces
ASFF	Afghanistan Security Forces Fund
BBA	Bipartisan Budget Act
CJTF	Combined Joint Task Force
CONUS	Continental United States
CTPF	Counterterrorism Partnerships Fund
C-UAS	Counter-Unmanned Aerial Systems
DoD	Department of Defense
ERI	European Reassurance Initiative
FY	Fiscal Year
HOA	Horn of Africa
ISF	Iraqi Security Forces
ISIL	Islamic State of Iraq and the Levant
ISR	Intelligence, Surveillance and Reconnaissance
ITEF	Iraq Train and Equip Fund
JIDO	Joint Improvised-Threat Defeat Organization
JUON	Joint Urgent Operational Need
KRG	Kurdistan Regional Government
LMAMS	Lethal Miniature Aerial Missile Systems
MILCON	Military Construction
NATO	North Atlantic Treaty Organization
OCO	Overseas Contingency Operations
OFS	Operation FREEDOM'S SENTINEL
OIR	Operation INHERENT RESOLVE
OOL	Operation ODYSSEY LIGHTNING
OPTEMPO	Operating Tempo
OSD	Office of the Secretary of Defense
OUSDC	Office of the Under Secretary of Defense (Comptroller)
RDT&E	Research, Development, Test, and Evaluation
STEF	Syria Train and Equip Fund
USAID	United States Agency for International Development
USCENTCOM	United States Central Command

